In re Application of: Twardzik et al.

Application No.: 10/039,119

Filed: January 4, 2002

Version with Markings - Page 1





APR 6

TECH CENTER

## VERSION WITH MARKINGS TO SHOW CHANGES MADREC

APR 0.

## **IN THE SPECIFICATION:**

The paragraphs at page 20, line 12 to page 22, line 2 were amended as follows: TECH CENTER

In one embodiment the TGF- $\alpha$  polypeptide, related polypeptide, mimetic or functional fragment is a TGF- $\alpha$  polypeptide as set forth in SEQ ID NO:1, SEQ ID NO:3, or a TGF $\alpha$  mimetic selected from the group consisting of formula I, formula II, formula III, formula IV, or formula V, wherein formula I is:

$$R_1 - T - R_2$$
 (I)

wherein  $R_1$  is  $-NH_2$ , or  $R_1$  is  $R_3$  -  $X_3$ , wherein  $R_3$  is a polyethylene glycol (PEG) attached to the free  $NH_2$  moiety of  $X_3$  (wherein  $X_3$  is Lys [or Arg] or Asp) and having a molecular weight of PEG of from about 2000 daltons to about 10,000 daltons, or one or more of the following seven amino acids from formula [VI]  $\underline{IV}$ , including either L (natural) or D chiral orientations:

-NH<sub>2</sub>-X<sub>1a</sub>-X<sub>1a</sub>- Ser - His - Phe - [Gln] <u>Asn</u> - X<sub>3</sub>- (<u>SEQ ID NO: 7</u>) (IV) wherein [X<sub>1</sub>]  $\underline{X}_{1a}$  is independently Val, Gly or Ala and X<sub>3</sub> is Lys [or Arg] <u>or Asp</u>; wherein T is the native sequence of human TGF $\alpha$  (SEQ ID NO. 1) from amino acid residue no. 8 (Cys) to amino acid residue no. [44] <u>43</u> (Cys) consisting of native L amino acids; and wherein R<sub>2</sub> is -COOH or one of more of the following seven amino acids, including either L (natural) or D chiral orientations, from formula V:

- $X_4$ - His -  $X_{1c}$ -  $X_4$ -  $X_5$ -  $X_{6}$ -  $X_{1c}$ - (SEQ ID NO: 5) (V) wherein  $X_4$  is Glu or Asp, wherein  $X_5$  is Leu or Ile, wherein  $X_6$  is Asp or Glu, and wherein  $[X_1]$   $X_{1c}$  is independently Val, Gly, or Ala.

The invention provides a peptide having TGF- $\alpha$  biological activity, comprising at least an 11-membered peptide compound of formula II [(SEQ ID NO:4)]:

-NH<sub>2</sub>-  $X_{1a}$ -Cys-His-Ser- $X_{1b}$ - $X_2$ - $X_{1a}$ - $X_{1b}$ - $X_{1a}$ - $X_3$ -Cys COOH (SEQ ID NO:4) (II) wherein  $[X_1 \text{ is}] \ \underline{X_{1a}}$ , and  $\underline{X_{1b}}$  are independently Val, Gly, or Ala, wherein  $X_2$  is Tyr or Phe, wherein  $X_3$  is Arg or Lys, and wherein the two Cys moieties form a disulfide bond to create an 11-amino-acid functional peptide having a 10 member loop structure. In addition, at least one or

In re Application of: Twardzik et al.

Application No.: 10/039,119

Filed: January 4, 2002

Version with Markings - Page 2

PATENT Attorney Docket No.: STEM1110-4

more of the following amino acids of formula III [(SEQ ID NO:5)] may be added to the C terminus Cys moiety of formula [1] II [(SEQ ID NO:4)]:

$$-X_4$$
 - His -  $X_{1c}$  -  $X_4$  -  $X_5$  -  $X_6$  -  $X_{1c}$  (SEQ ID NO: 5) (III)

wherein  $X_4$  is Glu or Asp, wherein  $X_5$  is Leu or Ile, wherein  $X_6$  is Asp or Glu and wherein  $X_{1c}$  is  $\underline{Val}$ , Gly or Ala. Preferably,  $X_{1a}$  is  $\underline{Val}$ ,  $X_{1b}$  is Gly and  $X_{1c}$  is Ala thereby producing an 11, 12, 13, 14, 15, 16, 17 or 18 amino acid peptide. Preferably,  $X_2$  is Tyr, and  $X_3$  is Arg. Accordingly, in one embodiment the functional peptide of the invention has a sequence:

 $NH_{2}-X_{1a}-Cys-His-Ser-X_{1b}-X_{2}-X_{1a}-X_{1b}-X_{1a}-X_{3}-Cys-X_{4}-His-X_{1c}-X_{4}-X_{5}-X_{6}-X_{1c}-COOH\ \underline{(SEQ\ ID)}$ 

## NO:6)

SEQ ID NO: 6 forms a 10 member loop structure with a 7 member tail that can be varied in length. In addition, SEQ ID NO: 6 can form dimmers comprising, for example, a 34-mer peptide. Accordingly, the functional peptide can be from about 10 to 18 amino acids in length (e.g. 10, 11, 12, 13, 14, 15, 16, 17, or 18 amino acids) wherein  $X_{1a}$  is Val,  $X_{1b}$  is Gly,  $X_{1c}$  is Ala and  $X_4$  is [Gly] Glu and may also comprise hetero- or homo-dimers of various TGF- $\alpha$  peptides described herein. Such dimmers may have greater or reduced activities as compared to monomers.

The invention further provides an active TGF- $\alpha$ 57 polypeptide (SEQ ID NO:3), wherein TGF- $\alpha$ 57 is a 57 amino acid polypeptide having the formula VI:

Ser - Leu - Ser - Leu - Pro - Ala - Met - Human TGF
$$\alpha$$
 (SEQ ID NO: 3) (VI)

Wherein human TGF $\alpha$  is a 50 amino acid polypeptide having a sequence as set forth in SEQ ID NO:1.

The paragraphs at page 52, lines 1-27 were amended as follows:

The invention further provides a bifunctional compound that acts as a TGF $\alpha$  mimetic, comprising a compound of formula III:

Loop peptide N-terminus-linker-cyclic C<sub>4</sub>H<sub>8</sub>N<sub>2</sub>- linker- Loop peptide N-terminus (VII)

In rc Application of:

Twardzik et al.

Application No.: 10/039,119

Filed: January 4, 2002

Version with Markings - Page 3

PATENT Attorney Docket No.: STEM1110-4

Wherein the linker moiety is designed to link the N-terminus of the Loop peptide to a nitrogen atom of the ring  $C_4H_8N_2$  and wherein the "loop peptide" comprises at least an 11-membered peptide compound of formula II:

NH<sub>2</sub>-  $X_{1a}$ -Cys-His-Ser- $X_{1b}$ - $X_2$ - $X_{1a}$ - $X_{1b}$ - $X_{1a}$ - $X_3$ -Cys COOH (SEQ ID NO:4) (II) wherein  $X_{1a}$ , and  $X_{1b}$  are independently Val, Gly, or Ala;  $X_2$  is Tyr or Phe;  $X_3$  is Arg or Lys; and the two Cys moieties are linked via a disulfide bond to form an at least 11-amino acid functional peptide having TGF- $\alpha$  activity. Preferably, at least one or more of the following amino acids are added to the C terminus Cys moiety from formula III, below:

$$-X_4$$
 - His -  $X_{1c}$  -  $X_4$  -  $X_5$  -  $X_6$  -  $X_{1c}$  (SEQ ID NO: 5) (III)

wherein  $X_4$  is Glu or Asp, wherein  $X_5$  is Leu or Ile, [and] wherein  $X_6$  is Asp or Glu and wherein  $X_{1c}$  is Val, Gly or Ala. Preferably,  $X_{1a}$  is Val,  $X_{1b}$  is Gly and  $X_{1c}$  is Ala. Preferably the linker group is independently selected from the group consisting of substituted or unsubstituted  $C_{1-6}$  alkoxy, xylenyl, wherein the substitutions are selected from the group consisting of: oxo, epoxyl, hydroxyl, chloryl, bromyl, fluoryl, and amino. Preferably,  $X_2$  is Tyr, and  $X_3$  is Arg. Most preferably, the functional peptide is 18 amino acids in length wherein  $X_{1a}$  is Val,  $X_{1b}$  is Gly,  $X_{1c}$  is Ala and  $X_4$  is [Gly] Glu.